Schizophrenia Bulletin doi:10.1093/schbul/sbu076

Cerebellar-Thalamic Connectivity in Schizophrenia

Deanna M. Barch*,1-3

¹Department of Psychology, Washington University in St. Louis, St. Louis, MO; ²Department of Psychiatry, Washington University in St. Louis, St. Louis, MO; ³Department of Radiology, Washington University in St. Louis, St. Louis, MO

*To whom correspondence should be addressed; Departments of Psychology, Psychiatry, and Radiology, Washington University in St. Louis, Box 1125, One Brookings Drive, St. Louis, MO 63130, US; tel: 314-935-8729 or 314-362-2608, fax: 314-935-8790, e-mail: dbarch@artsci.wustl.edu

The literature on alterations in brain structure and function in schizophrenia, particularly in relationship to impairments in cognitive, motor, and affective functions, has made it increasingly clear that changes in the function of a single brain region cannot explain the range of impairments seen in this illness.¹⁻⁴ This realization has led to a surgence of interest in studies examining neurobiological changes in schizophrenia from the perspective of brain networks and connections among brain regions and networks, with a particular focus on neural circuits known to work together to support sensory, cognitive, and emotional processes.⁵ This shift in focus is consistent with long-standing hypotheses about schizophrenia as a "dysconnection" syndrome, where impairments in cognition and behavior occur because of a failure of coordinated action across multiple brain regions. As many researchers have noted, versions of this hypothesis were put forth as early as the work of Wernicke⁷ and Bleuler.8 Further, theories about abnormalities in connections among brain regions have also played a central role in more recent theories of the pathophysiology of schizophrenia. For example, one such prominent theory, put forth by Andreasen and colleagues,9 suggested that schizophrenia involves a disruption in the integration of cortical-subcortical-cerebellar circuits, a hypothesis termed "cognitive dysmetria."

Although the cognitive dysmetria hypothesis suggested the critical involvement of cerebellar, striatal, and thalamic circuits as well as cortical circuits, the majority of the work on structural and functional connectivity deficits in schizophrenia has focused on cortical and striatal dysfunction and disconnection, 10-14 with the need for additional work on the thalamus. Alterations in the structure 15-17 and function of the thalamus are prominent in the schizophrenia literature. 2,18-21 As shown in anatomical studies of primates, the thalamus is topographically organized into parallel pathways connecting specific thalamic

nuclei to different regions of cortex brain regions,²² helping to form parallel loops for various types of information processing between subcortical and cortical regions. A growing number of studies have examined deficits in thalamic connectivity in schizophrenia, with several studies finding alterations in the functional connectivity between the thalamus and regions in the prefrontal in individuals with schizophrenia,^{23–27} consistent with the known anatomical connectivity of the thalamus.

Surprisingly few studies have examined cerebellar dysconnectivity in schizophrenia, or abnormalities in cerebellar-thalamic connections.²⁸⁻³¹ In part this may reflect the fact that until recently, the involvement of the cerebellum in nonmotor functions was not well-appreciated, perhaps making it a potentially less attractive target for work trying to understand the neural basis of cognitive and affective, as well as motor deficits in schizophrenia. However, there is now strong evidence that the cerebellum plays important roles in nonmotor cognitive and affective functions³²⁻³⁷ though the precise mechanistic contributions that the cerebellum makes to these functions remains to be elucidated. Existing theories of cerebellar function suggest that it influences motor and higher cognitive functions through feed-back and feed-forward loops via the thalamus and pons, with the thalamus the obligatory relay for all efferent cerebellar projections to the cortex. In particular, it is thought that the cerebellum plays a key role in cognitive and affective control through error processing,38 with potential roles for the cerebellum in reinforcement learning³⁹ and/or supervised learning. 40,41 Further, there is also strong evidence that the cerebellum, particularly the olivo-cerebellar loop, plays a key role in timing functions.⁴²

A substantial amount of work has found that cerebellar regions display anatomical and functional alterations in schizophrenia, 43-47 and there is evidence for abnormalities in motor, 48 conditioning, 49-53 and timing functions 54-58

thought to be supported at least in part the cerebellum in schizophrenia. Further, several previous studies have found evidence of altered connectivity between the thalamus and the cerebellum. 28-31,59 However, much remains to be understood in terms of the nature of cerebellar and thalamic connectivity dysfunction in schizophrenia and related psychotic disorders. The 3 articles in this special section on thalamic and cerebellar functional and structure and connectivity in psychosis are contributions that attempt to address some of the critical unanswered questions in this domain. Specifically, these articles address the questions of the degree to which cerebellar connectivity impairments are present in white matter connections and in the topographic organization of modules in the cerebellum, the degree to which thalamic/cerebellar connectivity impairments are or are not specific to nonaffective vs affective psychosis, and the relationship of thalamic/cerebellar connectivity impairments to neurological signs during the course of psychosis development.

Specifically, Kim and Hetrick extend graph theoretical studies of cortical topography and connectivity to the cerebellum, with a focus on structural connections. They show that individuals with schizophrenia have reduced white matter integrity in cerebellar lobules I–IV and V, lobules that have been hypothesized to play a key role in sensorimotor function.³³ The overall modularity of cerebellar networks was similar in individuals with schizophrenia and healthy controls, but the modular pattern of organization of the cerebellum was significantly altered in schizophrenia, in a way that might suggest abnormal segregation of prefrontal inputs into the cerebellum.

Anticevic et al focused more on thalamic connectivity, asking whether there are similar or distinct patterns of abnormal connectivity from medial dorsal vs lateral geniculate thalamic nuclei in schizophrenia and in individuals with bipolar disorder who do and do not have psychosis. They found that both the medial dorsal and lateral geniculate nuclei showed patterns of under connectivity with prefrontal and cerebellar regions in schizophrenia, but a pattern of *increased* connectivity with sensory motor regions. In contrast, neither the bipolar patients with psychosis nor the individuals without psychosis showed altered thalamic to cerebellar connectivity. Importantly, they found that the reduction in medial-dorsal thalamus to cerebellar connectivity was significantly greater in schizophrenia as compared with bipolar disorder, both with and without psychosis. These data provide evidence for important potential diagnostic differences in thalamic to cerebellar connectivity and suggest the need for further cross-diagnostic comparisons of cortical-striatal-thalamiccerebellar connectivity to understand which components of this network show shared impairments across different disorders involving psychosis vs which may be more specific to affective or nonaffective forms of psychosis.

Mittal et al examined the integrity of the superior cerebellar peduncles, the white matter tracts connecting the cerebellum and the thalamus in young adults with prodromal symptoms of psychosis (eg, "ultra high risk" individuals, or UHR). This allowed them to ask novel questions about the course of cerebellar to thalamic connectivity deficits in the emergence of psychosis, and about their relationship to sensory motor deficits. They found that at baseline, the UHR individuals showed significantly elevated neurological soft signs in sensory and motor domains. However, the UHR individuals did not differ from healthy controls in terms of white matter integrity in the superior cerebellar peduncles. Over the course of a 12-month follow-up, the healthy controls showed continued development of the superior cerebellar peduncles, as evidenced by increases in fractional anisotropy, while the UHR individuals showed a decline in fractional anisotropy, leading to significant group differences at follow-up. Even more importantly, neurological soft signs at baseline predicted a significant decline in white matter integrity over the course of followup, as well as more severe negative symptoms at follow-up. Thus, these data provide evidence for altered development of the white matter tracts connecting the thalamus and the cerebellum among individuals with early risk signs of psychosis. Further, these data provide a crucial link between thalamic-cerebellar connectivity and both motor and sensory function even very early in the course of psychosis.

Taken together, these 3 articles provide new information about the nature of cerebellar and thalamic connectivity abnormalities in relationship to psychosis, with evidence at both the structural and functional level. However, these results also raise intriguing questions that should be the pursuit of future research. Importantly, these data suggest the need for a closer examination of the developmental course of thalamic and cerebellar connectivity deficits in relationship to the emergence of psychosis, as a way to determine whether such deficits are predictors of risk or endophenotypes vs markers of illness course or emergence. In addition, these data point to the need for cross-diagnostic studies that examine the shared vs unique impairments in thalamic and cerebellar connectivity across illnesses that may or may not involve psychosis, both at the functional and structural level. Lastly, it will be critical to more mechanistically link these thalamic and cerebellar structure, function, and connectivity deficits to theories of illness development and impairments in cognition, emotion, and motor function. The work on the computational role of the cerebellum in different aspects of learning provides a pathway by which to do so, especially if this work can be linked to putative functional roles for the thalamus and the striatum in interaction with the cortex. There are a number of existing computational models of both the symptoms of psychosis and different aspects of cognition that postulate specific roles for particular components of the striatum and the cortex. 60-64 These models are relatively well developed and have already been harnessed to try to understand the neurobiology of psychosis.^{65–70} However, compared with other components of the circuit, there has been relatively little computational work on the specific role of the thalamus, 71,72 and thus future computational modeling that integrates roles for the cerebellum and thalamus along with the striatum and cortex would help generate testable predictions that could advance our understanding of the functional significance of cortical-striatal-thalamic-cerebellar circuit abnormalities in psychosis.

Acknowledgments

The author has declared that there are no conflicts of interest in relation to the subject of this study.

References

- Van Snellenberg JX, Torres IJ, Thornton AE. Functional neuroimaging of working memory in schizophrenia: task performance as a moderating variable. *Neuropsychology*. 2006;20:497–510.
- 2. Minzenberg MJ, Laird AR, Thelen S, Carter CS, Glahn DC. Meta-analysis of 41 functional neuroimaging studies of executive function in schizophrenia. *Arch Gen Psychiatry*. 2009;66:811–822.
- 3. Achim AM, Lepage M. Episodic memory-related activation in schizophrenia: meta-analysis. *Br J Psychiatry*. 2005;187:500–509.
- Ragland JD, Laird AR, Ranganath C, Blumenfeld RS, Gonzales SM, Glahn DC. Prefrontal activation deficits during episodic memory in schizophrenia. Am J Psychiatry. 2009;166:863–874.
- Calhoun VD, Eichele T, Pearlson G. Functional brain networks in schizophrenia: a review. Front Hum Neurosci. 2009;3:17.
- Stephan KE, Friston KJ, Frith CD. Dysconnection in schizophrenia: from abnormal synaptic plasticity to failures of selfmonitoring. Schizophr Bull. 2009;35:509–527.
- 7. Wernicke C. *Grundrisse der Psychchiatrie*. Stuttgart, Germany: Thieme; 1906.
- Bleuler E. Dementia Praecox or the Group of Schizophrenias. New York: International Universities Press (Original work published 1911); 1950.
- Andreasen NC, Paradiso S, O'Leary DS. "Cognitive dysmetria" as an integrative theory of schizophrenia: a dysfunction in cortical-subcortical-cerebellar circuitry? Schizophr Bull. 1998;24:203–218.
- Bullmore E, Sporns O. Complex brain networks: graph theoretical analysis of structural and functional systems. *Nat Rev Neurosci.* 2009;10:186–198.
- Fitzsimmons J, Kubicki M, Shenton ME. Review of functional and anatomical brain connectivity findings in schizophrenia. Curr Opin Psychiatry. 2013;26:172–187.
- Shepherd GM. Corticostriatal connectivity and its role in disease. Nat Rev Neurosci. 2013;14:278–291.
- 13. Whitfield-Gabrieli S, Ford JM. Default mode network activity and connectivity in psychopathology. *Annu Rev Clin Psychol*. 2012;8:49–76.
- Yu Q, Allen EA, Sui J, Arbabshirani MR, Pearlson G, Calhoun VD. Brain connectivity networks in schizophrenia

- underlying resting state functional magnetic resonance imaging. *Curr Top Med Chem.* 2012;12:2415–2425.
- Glahn DC, Laird AR, Ellison-Wright I, et al. Meta-analysis of gray matter anomalies in schizophrenia: application of anatomic likelihood estimation and network analysis. *Biol Psychiatry*. 2008;64:774–781.
- Adriano F, Spoletini I, Caltagirone C, Spalletta G. Updated metaanalyses reveal thalamus volume reduction in patients with firstepisode and chronic schizophrenia. Schizophr Res. 2010;123:1–14.
- Cronenwett WJ, Csernansky J. Thalamic pathology in schizophrenia. Curr Top Behav Neurosci. 2010;4:509–528.
- Andrews J, Wang L, Csernansky JG, Gado MH, Barch DM. Abnormalities of thalamic activation and cognition in schizophrenia. Am J Psychiatry. 2006;163:463–469.
- Bor J, Brunelin J, Sappey-Marinier D, et al. Thalamus abnormalities during working memory in schizophrenia. An fMRI study. Schizophr Res. 2011;125:49–53.
- Tregellas JR, Davalos DB, Rojas DC, et al. Increased hemodynamic response in the hippocampus, thalamus and prefrontal cortex during abnormal sensory gating in schizophrenia. *Schizophr Res.* 2007;92:262–272.
- 21. Tregellas JR, Ellis J, Shatti S, Du YP, Rojas DC. Increased hippocampal, thalamic, and prefrontal hemodynamic response to an urban noise stimulus in schizophrenia. *Am J Psychiatry*. 2009;166:354–360.
- 22. Haber SN. The primate basal ganglia: parallel and integrative networks. *J Chem Neuroanat*. 2003;26:317–330.
- Zhou Y, Liang M, Jiang T, et al. Functional dysconnectivity of the dorsolateral prefrontal cortex in first-episode schizophrenia using resting-state fMRI. Neurosci Lett. 2007;417:297–302.
- Welsh RC, Chen AC, Taylor SF. Low-frequency BOLD fluctuations demonstrate altered thalamocortical connectivity in schizophrenia. *Schizophr Bull*. 2010;36:713–722.
- Woodward ND, Karbasforoushan H, Heckers S. Thalamocortical dysconnectivity in schizophrenia. Am J Psychiatry. 2012;169:1092–1099.
- Klingner CM, Langbein K, Dietzek M, et al. Thalamocortical connectivity during resting state in schizophrenia. *Eur Arch Psychiatry Clin Neurosci*. 2014;264:111–119.
- Argyelan M, Ikuta T, DeRosse P, et al. Resting-state FMRI connectivity impairment in schizophrenia and bipolar disorder. Schizophr Bull. 2014;40:100–110.
- 28. Chen YL, Tu PC, Lee YC, Chen YS, Li CT, Su TP. Resting-state fMRI mapping of cerebellar functional dysconnections involving multiple large-scale networks in patients with schizophrenia. *Schizophr Res.* 2013;149:26–34.
- Liu H, Fan G, Xu K, Wang F. Changes in cerebellar functional connectivity and anatomical connectivity in schizophrenia: a combined resting-state functional MRI and diffusion tensor imaging study. *J Magn Reson Imaging*. 2011;34:1430–1438.
- Yu Y, Shen H, Zeng LL, Ma Q, Hu D. Convergent and divergent functional connectivity patterns in schizophrenia and depression. *PLoS One*. 2013;8:e68250.
- Collin G, Hulshoff Pol HE, Haijma SV, Cahn W, Kahn RS, van den Heuvel MP. Impaired cerebellar functional connectivity in schizophrenia patients and their healthy siblings. Front Psychiatry. 2011;2:73.
- 32. Stoodley CJ, Valera EM, Schmahmann JD. Functional topography of the cerebellum for motor and cognitive tasks: an fMRI study. *Neuroimage*. 2012;59:1560–1570.
- 33. Stoodley CJ, Schmahmann JD. Evidence for topographic organization in the cerebellum of motor control versus cognitive and affective processing. *Cortex*. 2010;46:831–844.

- Stoodley CJ. The cerebellum and cognition: evidence from functional imaging studies. *Cerebellum*. 2012;11:352–365.
- 35. E KH, Chen SH, Ho MH, Desmond JE. A meta-analysis of cerebellar contributions to higher cognition from PET and fMRI studies. *Hum Brain Mapp.* 2014;35:593–615.
- 36. Strata P, Thach WT, Ottersen OP. New insights in cerebellar function. Introduction. *Neuroscience*. 2009;162:545–548.
- Strata P, Scelfo B, Sacchetti B. Involvement of cerebellum in emotional behavior. *Physiol Res.* 2011;60(suppl 1):S39–S48.
- 38. Ide JS, Li CS. A cerebellar thalamic cortical circuit for error-related cognitive control. *Neuroimage*. 2011;54:455–464.
- 39. Swain RA, Kerr AL, Thompson RF. The cerebellum: a neural system for the study of reinforcement learning. *Front Behav Neurosci.* 2011;5:8.
- 40. Doya K. Complementary roles of basal ganglia and cerebellum in learning and motor control. *Curr Opin Neurobiol*. 2000;10:732–739.
- 41. Doya K. What are the computations of the cerebellum, the basal ganglia and the cerebral cortex? *Neural Netw.* 1999;12:961–974.
- D'Angelo E, Mazzarello P, Prestori F, et al. The cerebellar network: from structure to function and dynamics. *Brain Res Rev.* 2011;66:5–15.
- Picard H, Amado I, Mouchet-Mages S, Olié JP, Krebs MO. The role of the cerebellum in schizophrenia: an update of clinical, cognitive, and functional evidences. *Schizophr Bull*. 2008;34:155–172.
- 44. Andreasen NC, Pierson R. The role of the cerebellum in schizophrenia. *Biol Psychiatry*. 2008;64:81–88.
- Loeber RT, Cintron CM, Yurgelun-Todd DA. Morphometry of individual cerebellar lobules in schizophrenia. Am J Psychiatry. 2001;158:952–954.
- 46. Rasser PE, Schall U, Peck G, et al. Cerebellar grey matter deficits in first-episode schizophrenia mapped using cortical pattern matching. *Neuroimage*. 2010;53:1175–1180.
- Lungu O, Barakat M, Laventure S, et al. The incidence and nature of cerebellar findings in schizophrenia: a quantitative review of fMRI literature. Schizophr Bull. 2013;39:797–806.
- 48. Gowen E, Miall RC. The cerebellum and motor dysfunction in neuropsychiatric disorders. *Cerebellum*. 2007;6:268–279.
- Bolbecker AR, Kent JS, Petersen IT, et al. Impaired cerebellar-dependent eyeblink conditioning in first-degree relatives of individuals with schizophrenia [published online ahead of print August 20, 2013]. Schizophr Bull.
- Forsyth JK, Bolbecker AR, Mehta CS, et al. Cerebellardependent eyeblink conditioning deficits in schizophrenia spectrum disorders. Schizophr Bull. 2012;38:751–759.
- 51. Bolbecker AR, Mehta CS, Edwards CR, Steinmetz JE, O'Donnell BF, Hetrick WP. Eye-blink conditioning deficits indicate temporal processing abnormalities in schizophrenia. *Schizophr Res.* 2009;111:182–191.
- 52. Edwards CR, Newman S, Bismark A, et al. Cerebellum volume and eyeblink conditioning in schizophrenia. *Psychiatry Res.* 2008;162:185–194.
- Brown SM, Kieffaber PD, Carroll CA, et al. Eyeblink conditioning deficits indicate timing and cerebellar abnormalities in schizophrenia. *Brain Cogn.* 2005;58:94–108.
- 54. Ortuño F, Guillén-Grima F, López-García P, Gómez J, Pla J. Functional neural networks of time perception: challenge and opportunity for schizophrenia research. *Schizophr Res.* 2011;125:129–135.
- 55. Morris SE, Holroyd CB, Mann-Wrobel MC, Gold JM. Dissociation of response and feedback negativity in

- schizophrenia: electrophysiological and computational evidence for a deficit in the representation of value. *Front Hum Neurosci.* 2011;5:123.
- Carroll CA, O'Donnell BF, Shekhar A, Hetrick WP. Timing dysfunctions in schizophrenia span from millisecond to several-second durations. *Brain Cogn.* 2009;70:181–190.
- Elvevåg B, McCormack T, Gilbert A, Brown GD, Weinberger DR, Goldberg TE. Duration judgements in patients with schizophrenia. *Psychol Med.* 2003;33:1249–1261.
- 58. Volz HP, Nenadic I, Gaser C, Rammsayer T, Häger F, Sauer H. Time estimation in schizophrenia: an fMRI study at adjusted levels of difficulty. *Neuroreport*. 2001;12:313–316.
- Shen H, Wang L, Liu Y, Hu D. Discriminative analysis of resting-state functional connectivity patterns of schizophrenia using low dimensional embedding of fMRI. *Neuroimage*. 2010;49:3110–3121.
- Waltz JA, Frank MJ, Wiecki TV, Gold JM. Altered probabilistic learning and response biases in schizophrenia: behavioral evidence and neurocomputational modeling. *Neuropsychology*. 2011;25:86–97.
- 61. Wiecki TV, Frank MJ. Neurocomputational models of motor and cognitive deficits in Parkinson's disease. *Prog Brain Res.* 2010;183:275–297.
- 62. Hazy TE, Frank MJ, O'reilly RC. Towards an executive without a homunculus: computational models of the prefrontal cortex/basal ganglia system. *Philos Trans R Soc Lond B Biol Sci.* 2007;362:1601–1613.
- 63. Frank MJ, Santamaria A, O'Reilly RC, Willcutt E. Testing computational models of dopamine and noradrenaline dysfunction in attention deficit/hyperactivity disorder. *Neuropsychopharmacology*. 2007;32:1583–1599.
- 64. Hazy TE, Frank MJ, O'Reilly RC. Banishing the homunculus: making working memory work. *Neuroscience*. 2006;139:105–118.
- 65. Maia TV, Frank MJ. From reinforcement learning models to psychiatric and neurological disorders. *Nat Neurosci*. 2011;14:154–162.
- Corlett PR, Taylor JR, Wang XJ, Fletcher PC, Krystal JH. Toward a neurobiology of delusions. *Prog Neurobiol*. 2010;92:345–369.
- 67. Corlett PR, Murray GK, Honey GD, et al. Disrupted prediction-error signal in psychosis: evidence for an associative account of delusions. *Brain*. 2007;130:2387–2400.
- 68. Anticevic A, Cole MW, Repovs G, et al. Connectivity, pharmacology, and computation: toward a mechanistic understanding of neural system dysfunction in schizophrenia. *Front Psychiatry*. 2013;4:169.
- 69. Hoffman RE, Grasemann U, Gueorguieva R, Quinlan D, Lane D, Miikkulainen R. Using computational patients to evaluate illness mechanisms in schizophrenia. *Biol Psychiatry*. 2011;69:997–1005.
- Braver TS, Barch DM, Cohen JD. Cognition and control in schizophrenia: a computational model of dopamine and prefrontal function. *Biol Psychiatry*. 1999;46:312–328.
- 71. Civier O, Bullock D, Max L, Guenther FH. Computational modeling of stuttering caused by impairments in a basal ganglia thalamo-cortical circuit involved in syllable selection and initiation. *Brain Lang.* 2013;126:263–278.
- 72. Schroll H, Vitay J, Hamker FH. Working memory and response selection: a computational account of interactions among cortico-basalganglio-thalamic loops. *Neural Netw.* 2012;26:59–74.